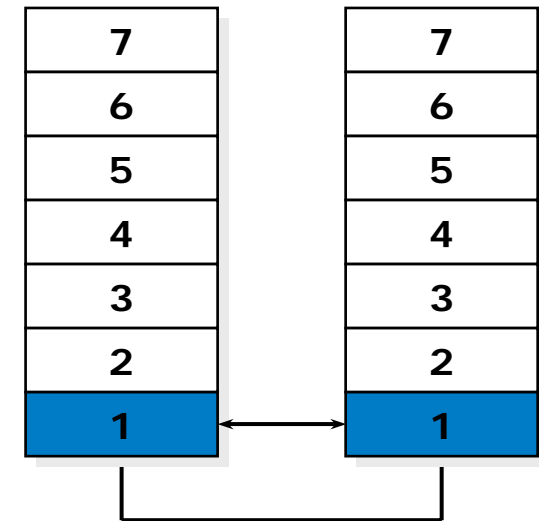


# TI III: Operating & Communication Systems

## Host-to-Network I

Physical Layer  
Media, Signals  
Modems



## Content (2)

### 8. Networked Computer & the Internet

- Sockets
- Internet
- Layers, Protocols

### 9. Host-to-Network I

- **Physical Layer**
- **Media, Signals**
- **Modems**

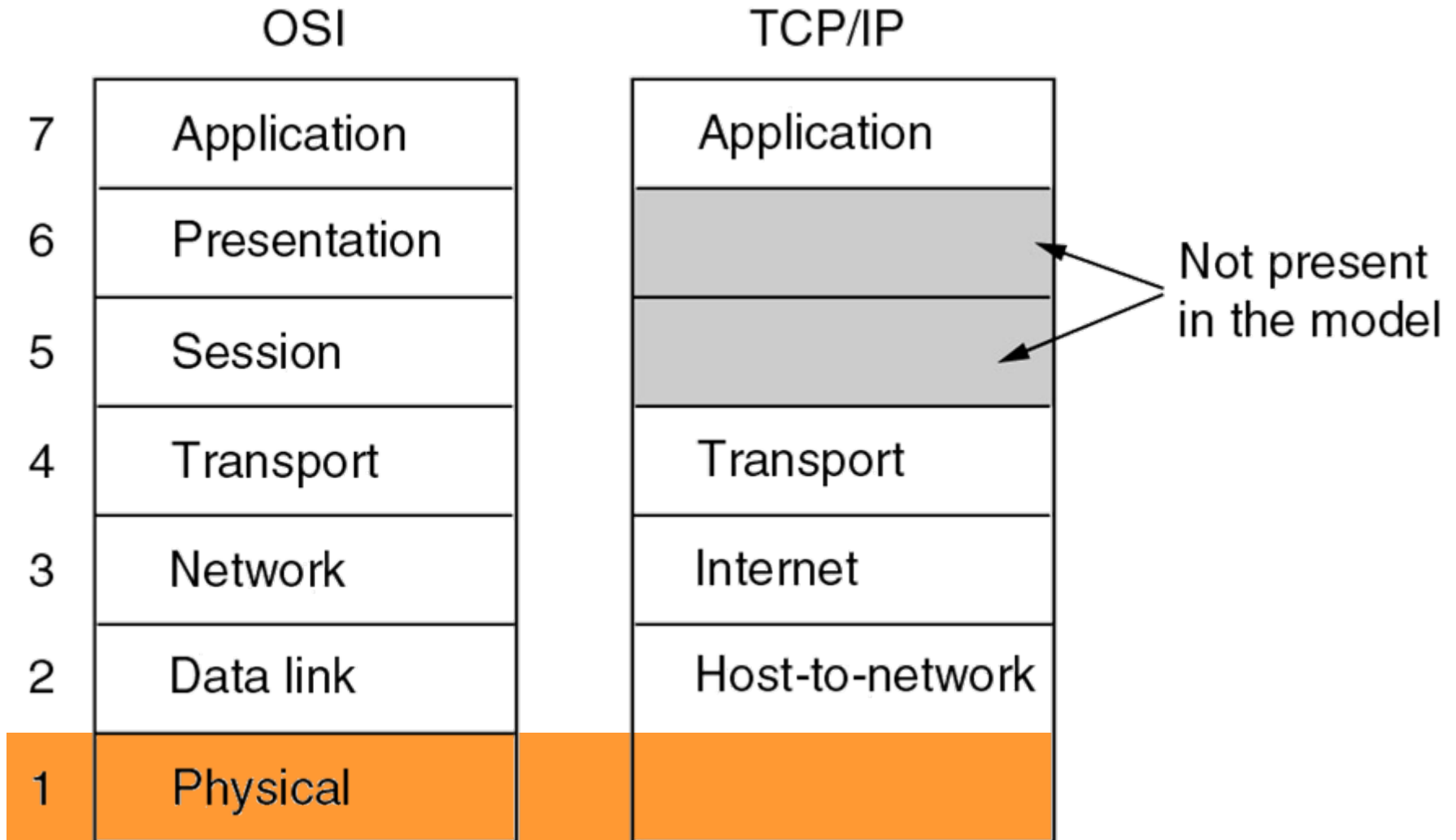
### 10. Host-to-Network II

### 11. Host-to-Network III

### 12. Internet Protocol

### 13. Transport Protocols

### 14. Application Support

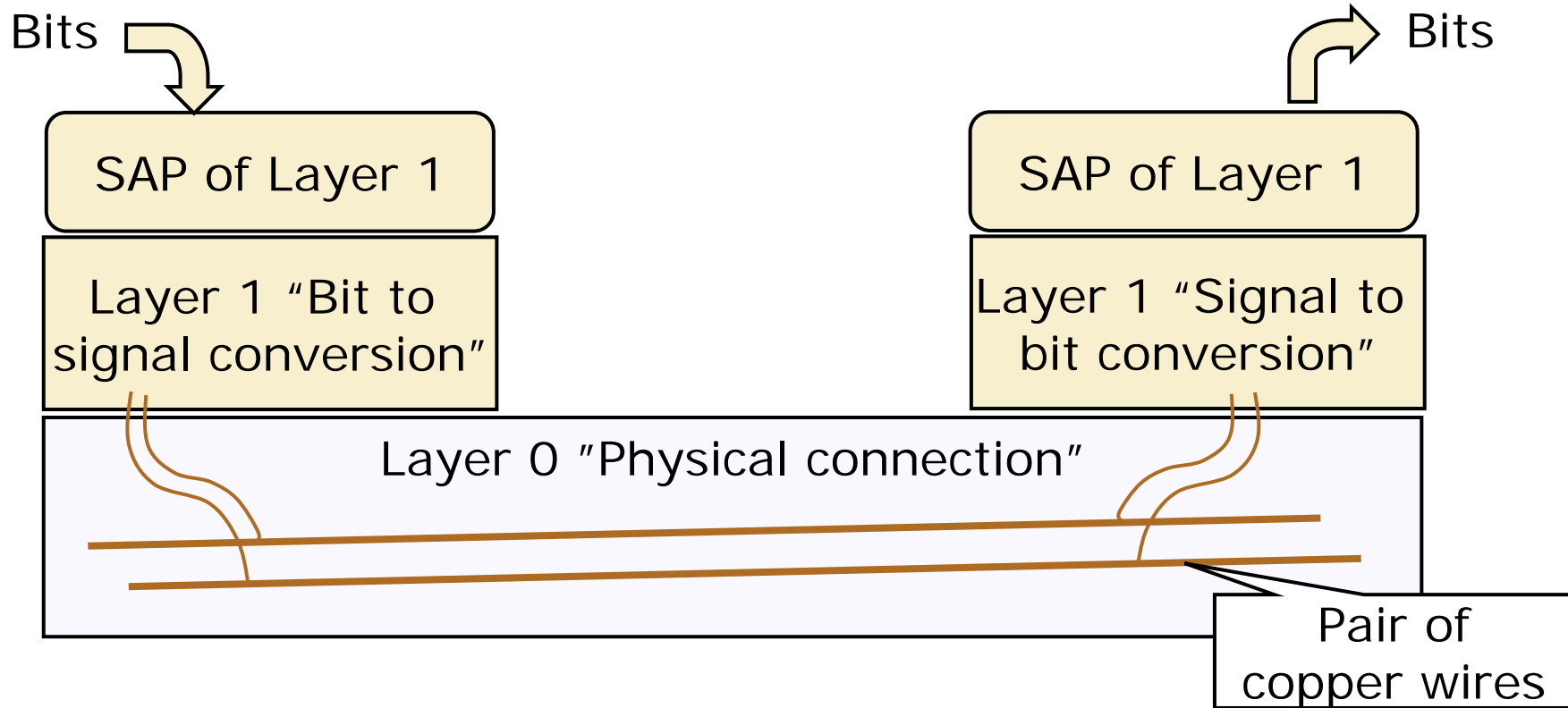


# Tasks of the Physical Layer

- Responsible for turning a logical sequence of bits into a physical signal that can propagate through space
  - Many different forms of physical signals are possible
  - Signals are limited by their propagation in a physical medium (limited bandwidth, attenuation, dispersion) and by noise
- Bits can be combined into multi-valued symbols for transmission
  - Gives rise to the difference in data rate and baud rate
- Baseband transmission is fraught with problems, partially overcome by modulating a signal onto a carrier (broadband transmission)
- Includes connectors, media types, voltages...
- No error correction!

# Basic service of physical layer: transport bits

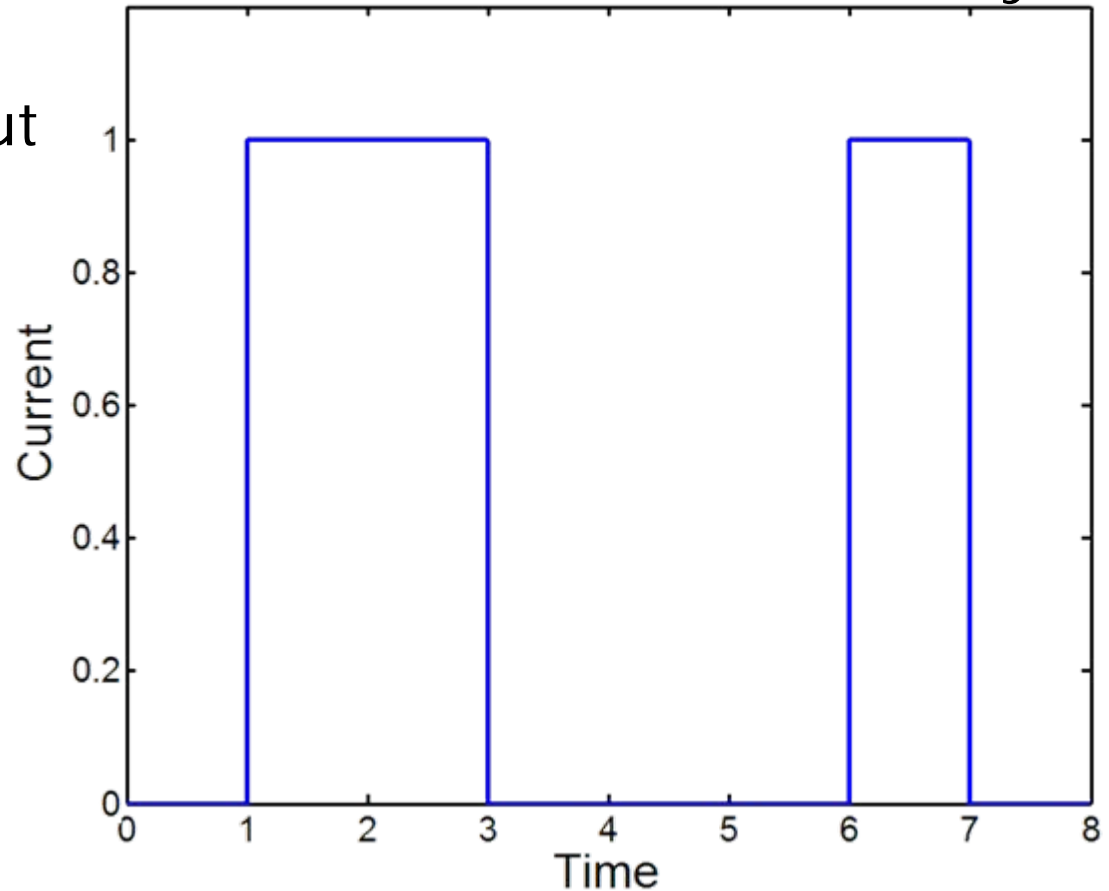
- Physical layer should enable the transport of bits between two locations A and B
- Abstraction: Bit sequence – in order delivery
  - but maybe wrong bits



# Example: Transmit bit pattern for character "b"

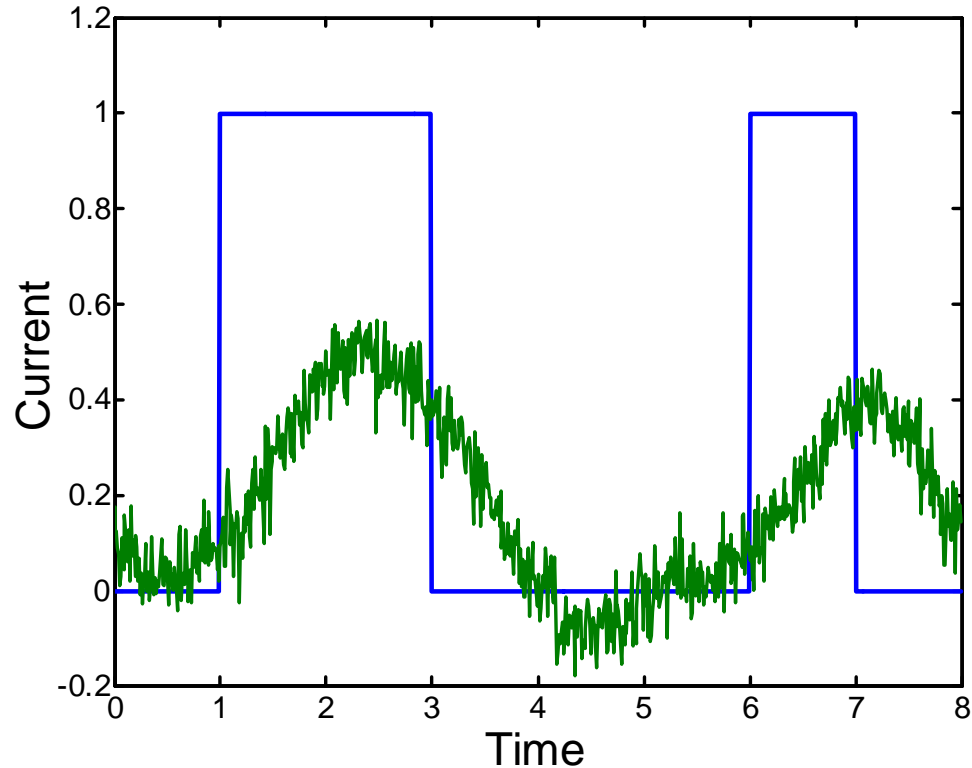
- Character "b" needs a representation as a sequence of bits
- One option: Use the ASCII code of "b", 98, as a binary number 01100010
- Resulting current put on the wire:

Note: Abstract **data** is represented by physical **signals** – changes of a physical quantity in time or space!



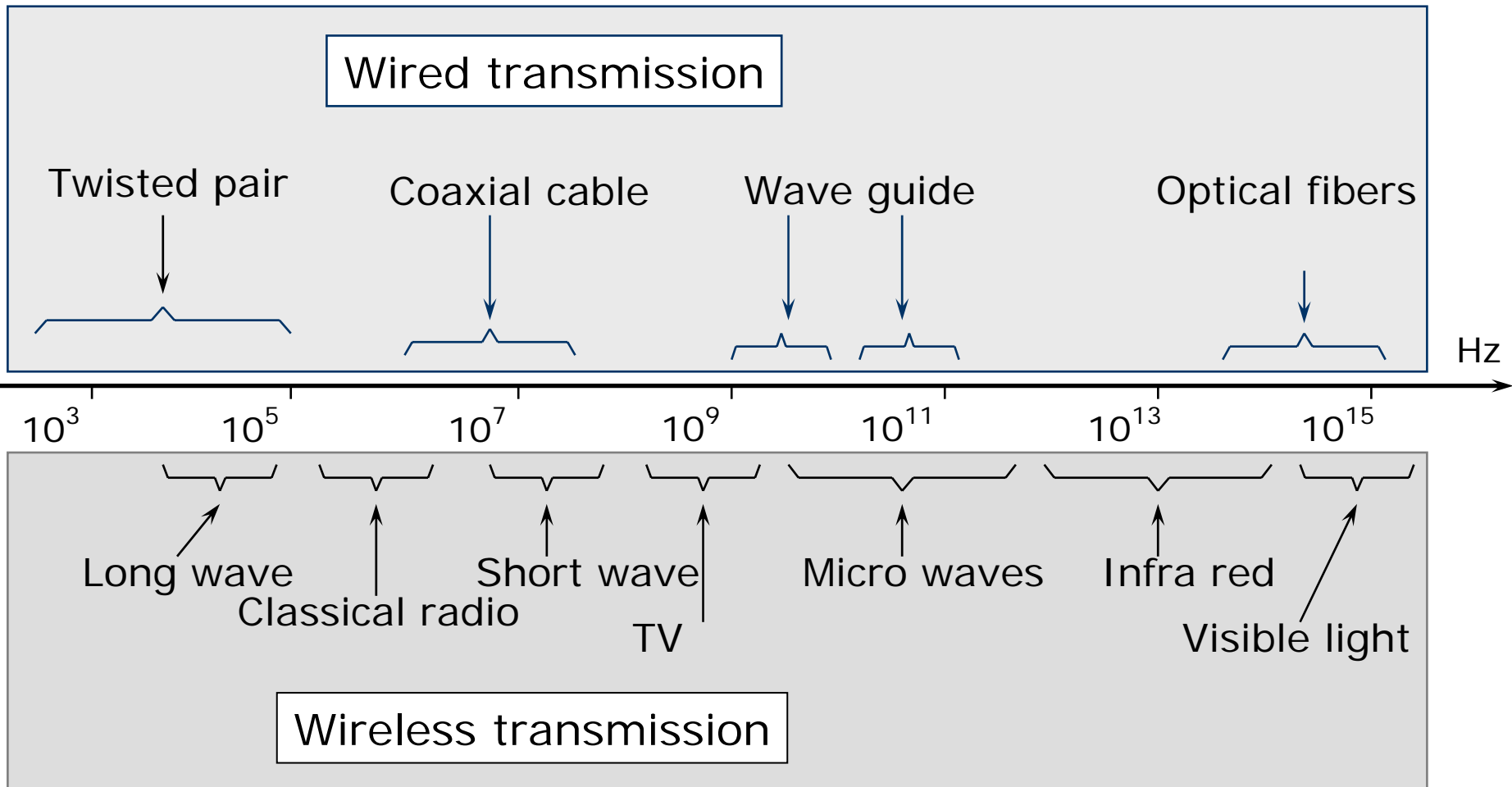
# What arrives at the receiver?

- Typical pattern at the receiver:



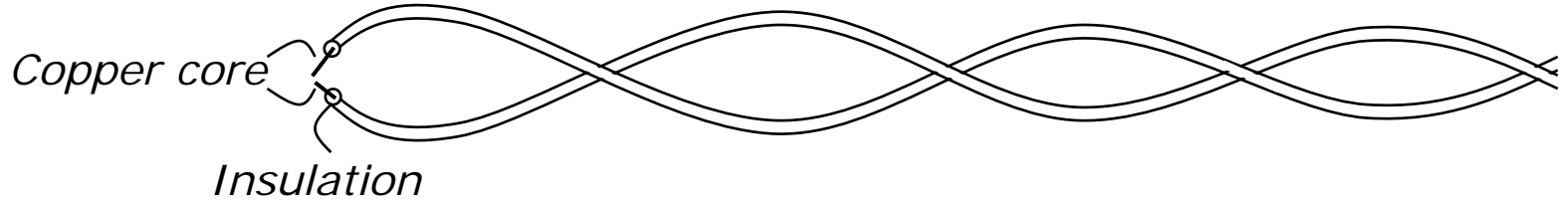
- What is going on here and how should we convert the signal back to a "b"?

# Electromagnetic spectrum

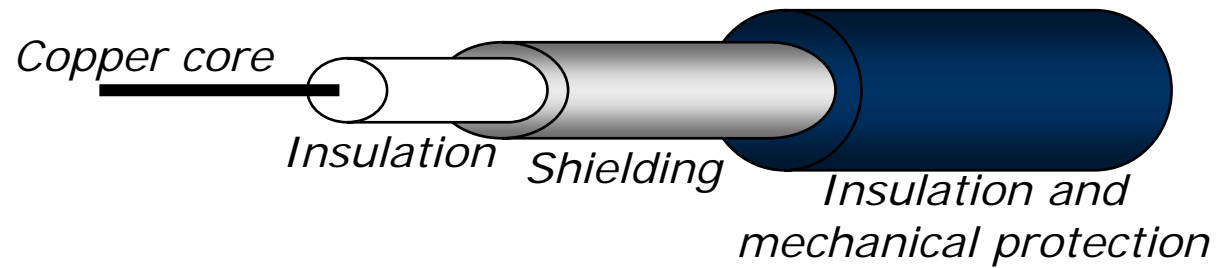




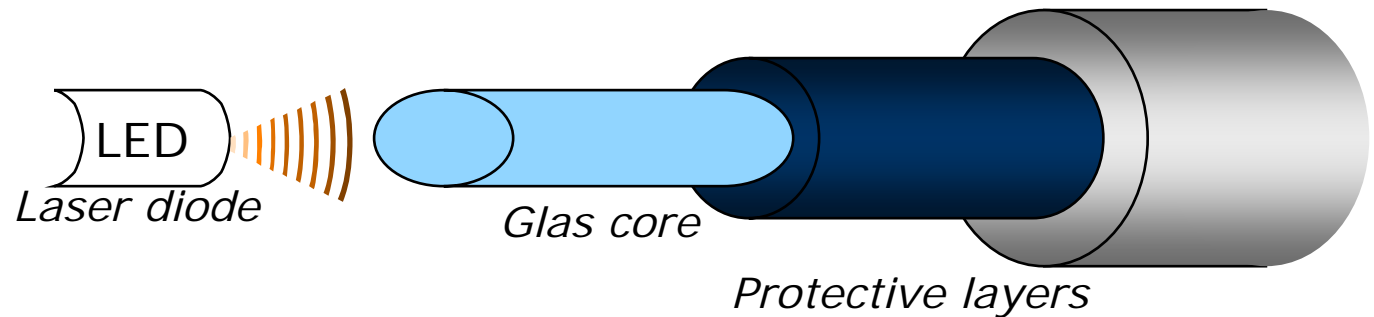
## Twisted pair

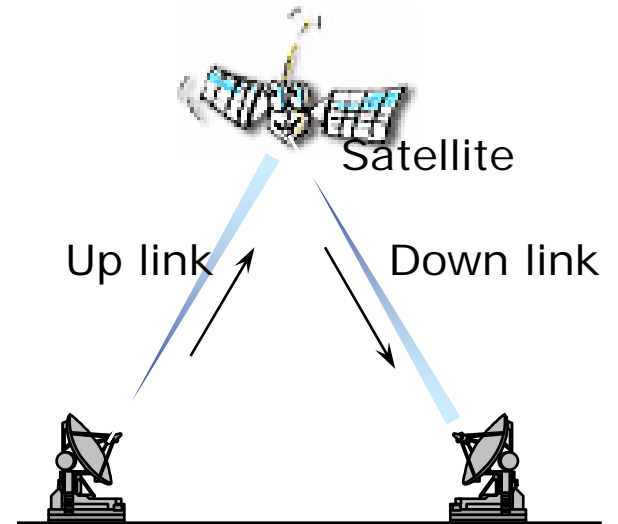
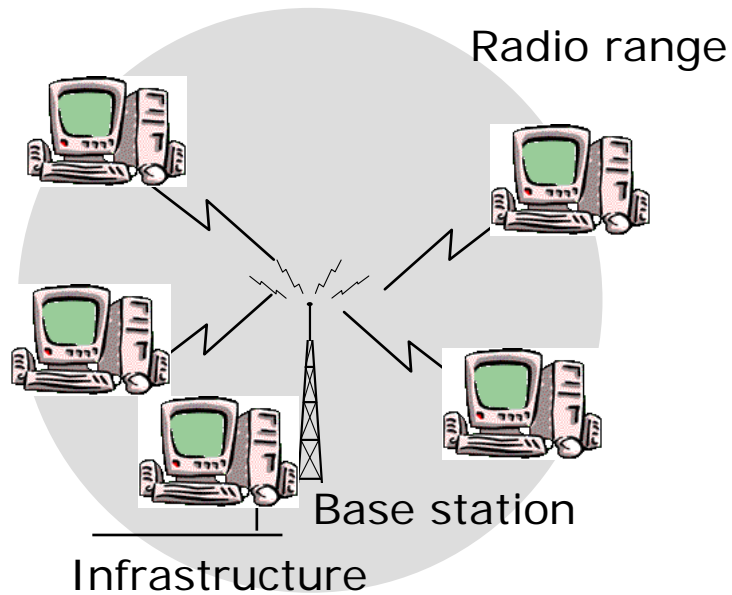


## Coaxial



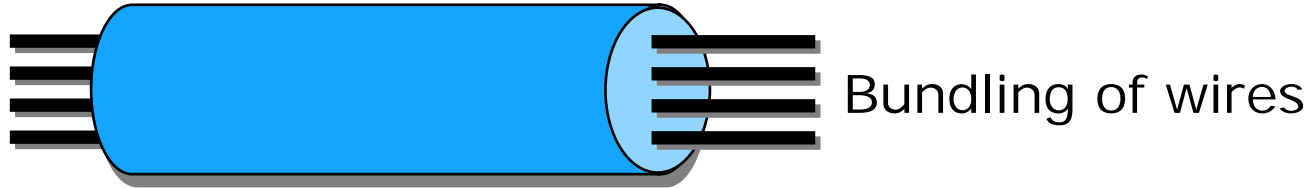
## Optical fiber



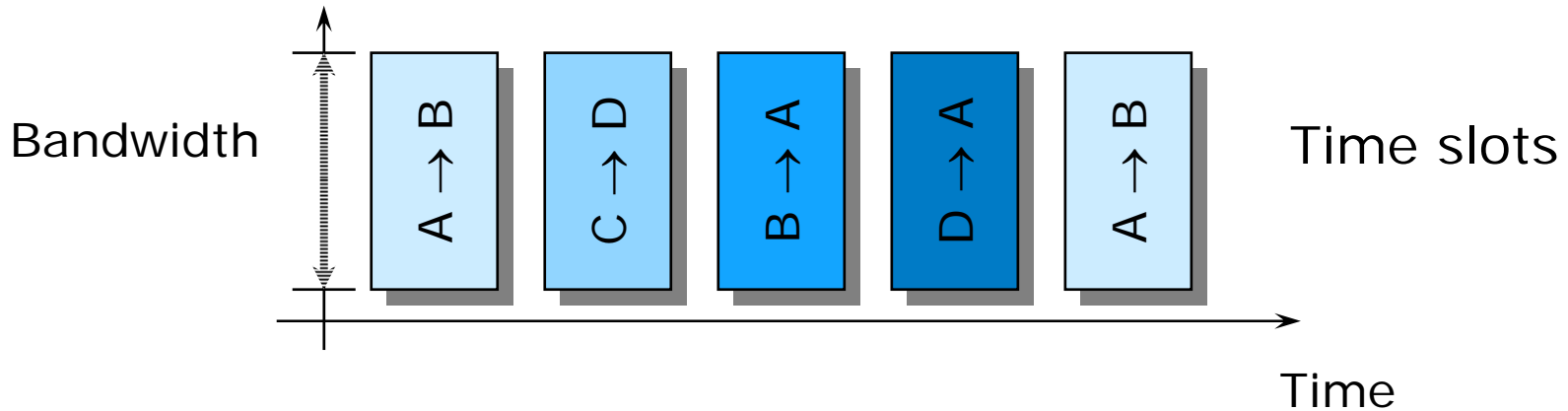


# Multiplexing I

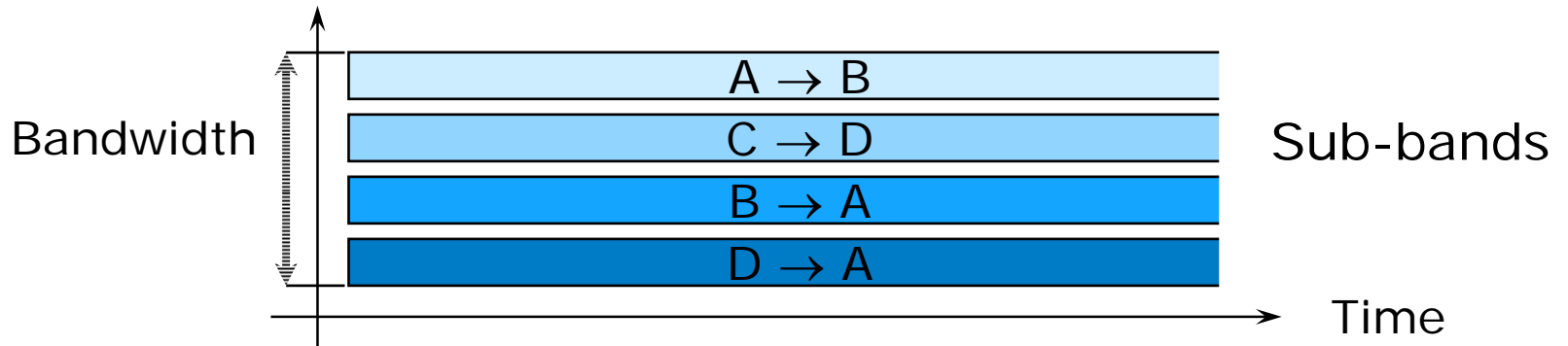
- Space division multiplexing (SDM)



- Time division multiplexing (TDM)



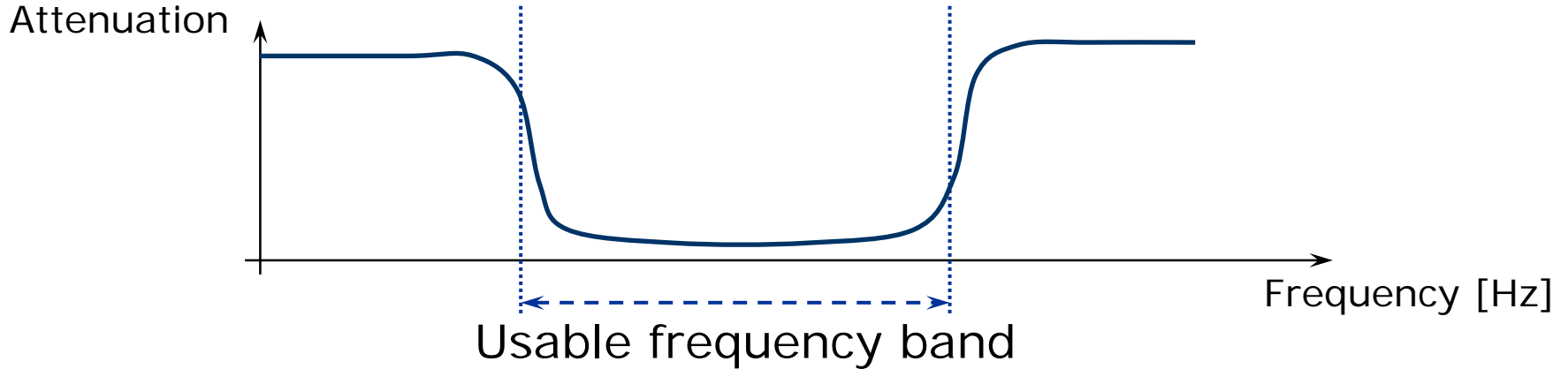
- Frequency division multiplexing (FDM)



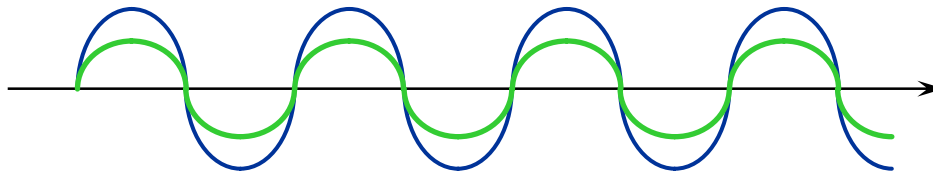
- Multiplexing in general allows for a more efficient usage of a medium

# Typical effects of transmission

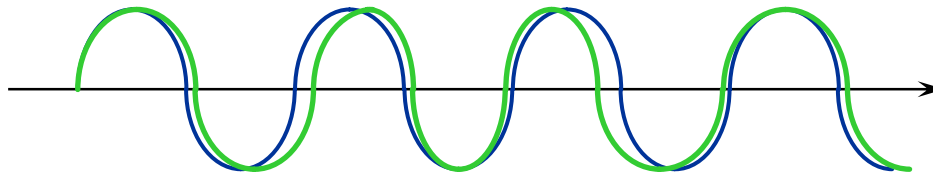
- Limited bandwidth



- Signal attenuation



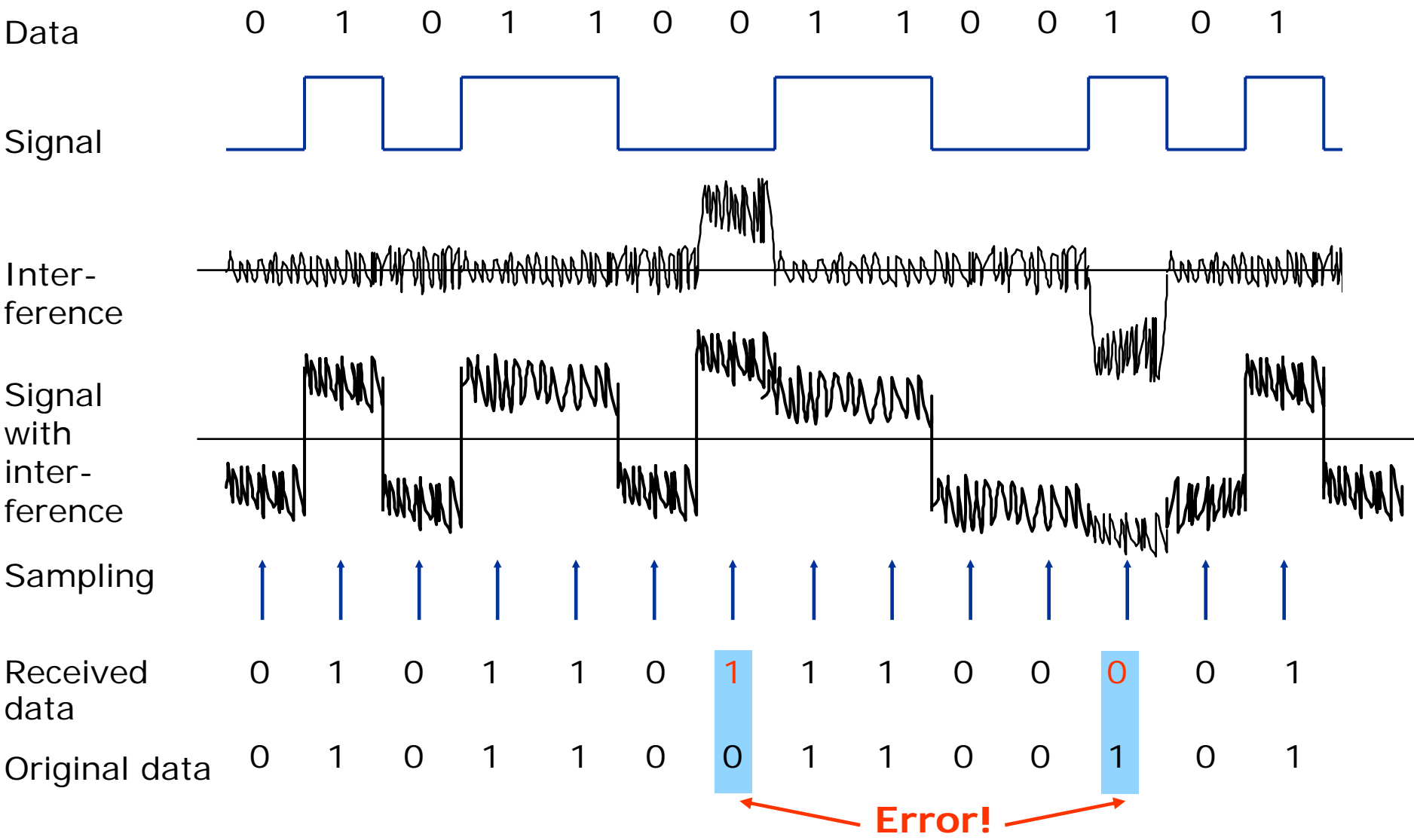
- Jitter, dispersion, ...



# Interference

- Noise (background noise, thermal, ...)
- Echoes (e.g. at connections)
- Crosstalk (e.g. interference across wires)
- ELF (extreme low frequency, e.g. 50/60 Hz AC)
- Spikes (short, high amplitude)
- ...

# Example: Results of interference



# When to sample the received signal?

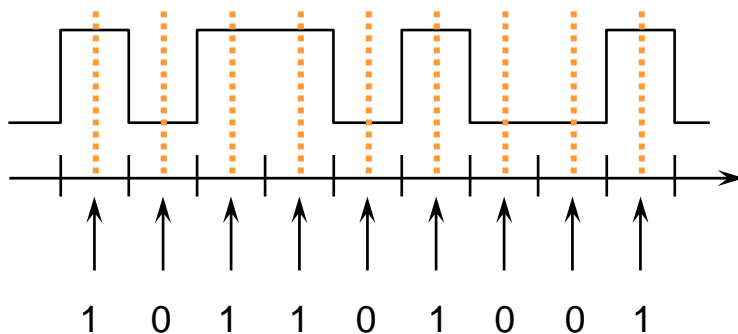
- How does the receiver know **WHEN** to check the received signal for its value?
  - One typical convention: in the middle of each symbol
  - But when does a symbol start?
    - The length of a symbol is usually known by convention via the symbol rate
- The receiver has to be ***synchronized*** with the sender at the ***bit*** level
  - The link layer will have to deal with frame synchronization
  - There is also “character” synchronization – omitted here



# Overly simplistic bit synchronization

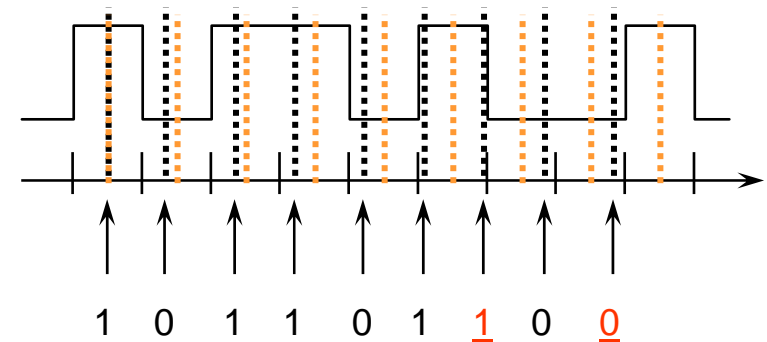
- One simple option:
  - Assume that sender and receiver at some point in time are synchronized
  - That both have an internal clock that tics at every symbol step
- Usually, this does not work
  - **Clock drift** is major problem – two different clocks never stay in perfect synchrony
- Errors if synchronization is lost:

Sender:



Channel →

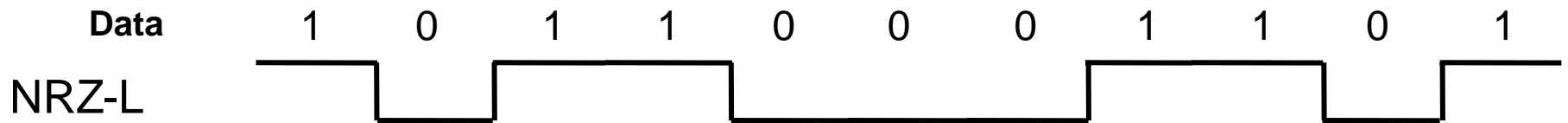
Receiver with a slightly faster clock:



- Relying on clock synchronization does not work
- Provide an explicit clock signal
  - Needs parallel transmission over some additional channel
  - Must be in synch with the actual data, otherwise pointless  
→ Useful only for short-range communication
- Synchronize the receiver at crucial points (e.g., start of a character or of a block)
  - Otherwise, let the receiver clock run freely
  - Relies on short-term stability of clock generators (do not diverge too quickly)
- Extract clock information from the received signal itself
  - Treated next in more detail

# Extract clock information from signal itself

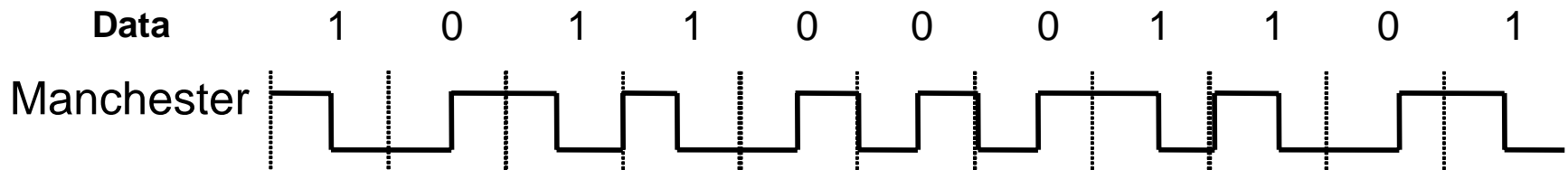
- Put enough information into the data signal itself so that the receiver can know immediately when a bit starts/stops
- Would the simple  $0 \rightarrow \text{low}$ ,  $1 \rightarrow \text{high}$  mapping of bit  $\rightarrow$  symbol work?
- It should – after all, receiver can use 0-1-0 transitions to detect the length of a bit



- But it fails depending on bit sequences: think of long runs of 1s or 0s – receiver can lose synchronization
- Not nice not to be able to transmit arbitrary data

# Extract clock information from signal itself – Manchester

- Idea: At each bit, provide indication to receiver that this is where a bit {starts/stops/has its middle}
  - Example: Manchester encoding
  - For a 0 bit, have the symbol change in the bit middle from low to high
  - For a 1 bit, have the symbol change in the bit middle from high to low



- Ensures sufficient number of signal transitions
  - Independent of what data is transmitted!

- The transmission schemes described so far:  
***baseband transmission***
  - Baseband transmission directly puts the digital symbol sequences onto the wire
  - At different levels of current, voltage, ...
- Baseband transmission suffers from
  - Limited bandwidth reshapes the signal at receiver
  - Attenuation and distortion depend on frequency and baseband transmissions have many different frequencies because of their wide Fourier spectrum
    - Rectangular signal causes “infinite” spectrum!
- Possible alternative: ***broadband transmission***
  - needed for wireless transmission (antennas) and frequency division multiplex

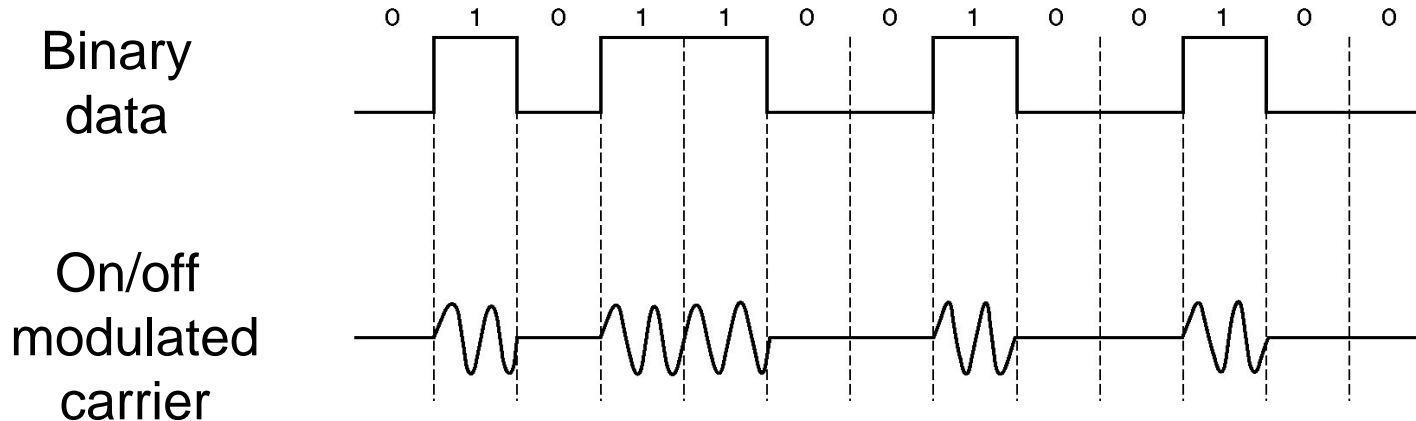
# Broadband transmission

- Idea: get rid of the wide spectrum needed for baseband transmission
- Use a ***sine wave*** as a carrier for the symbols to be transmitted
  - Typically, the sine wave has high frequency
  - But only a *single* frequency!
- Pure sine waves has no information, so its shape has to be influenced according to the symbols to be transmitted
  - The carrier has to be ***modulated*** by the symbols (widening the spectrum)
- Three parameters that can be influenced
  - Amplitude  $a$
  - Frequency  $f$
  - Phase  $\phi$

$$a \sin(2\pi ft + \phi)$$

# Amplitude modulation

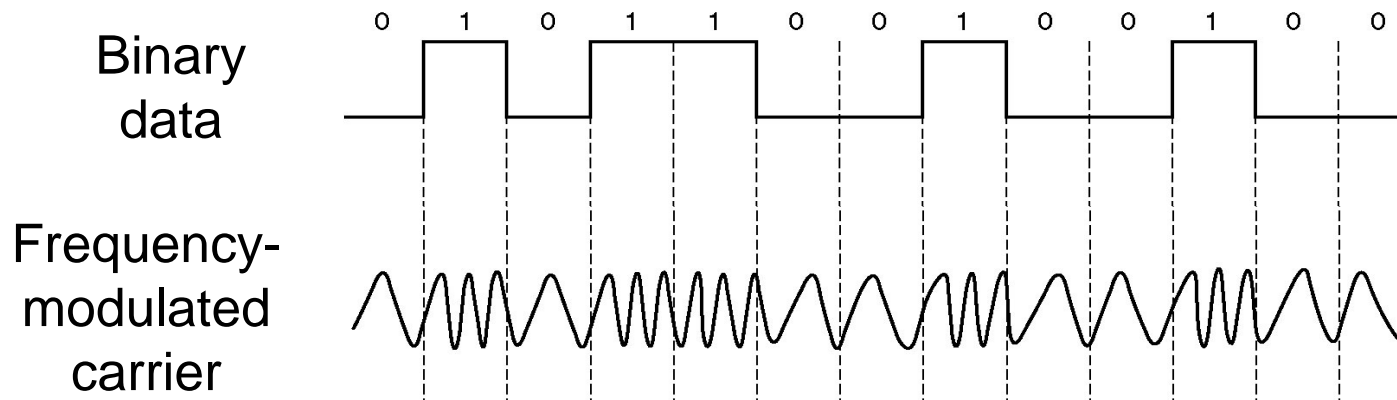
- The amplitude modulated sine wave  $f_A(t)$  is given as
  - $f_A(t) = s(t) \sin(2\pi ft + \phi)$
  - The amplitude is given by the signal  $s(t)$  to be transmitted
- Special cases:
  - $s(t)$  is an **analog** signal – **amplitude modulation**
  - $s(t)$  is a **digital** signal – also called **amplitude keying**
  - $s(t)$  only takes 0 and  $a$  as values – **on/off keying**



# Frequency modulation

- The frequency modulated sine wave  $f_F(t)$  is given by
  - $f_F(t) = a \sin(2\pi s(t)t + \phi)$
  - Modulation/keying terminology like for AM

- Example



Note:  $s(t)$  has an additive constant in this example to avoid having frequency zero

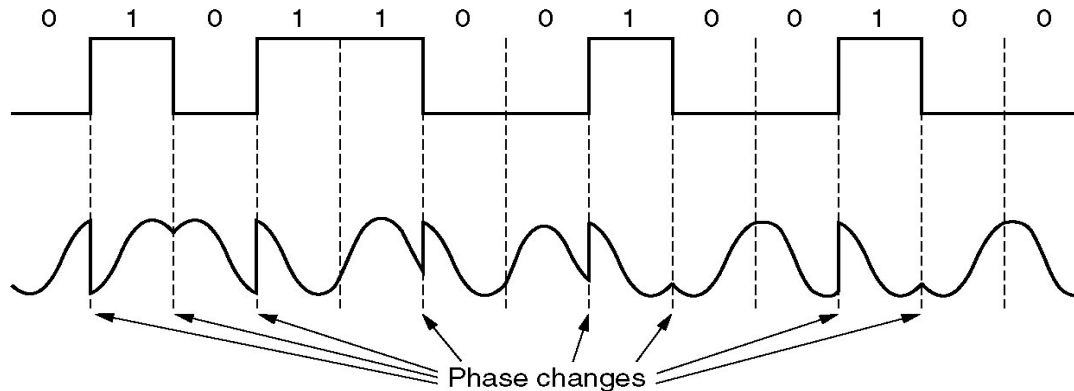


# Phase modulation

- Similarly, a phase modulated carrier is given by
  - $f_p(t) = a \sin(2\pi ft + s(t))$
  - Modulation/keying terminology again similar

- Example:

Binary data



Phase-modulated carrier

- $s(t)$  is chosen such that there are phase changes when the binary data changes
  - Typical example for ***differential coding***

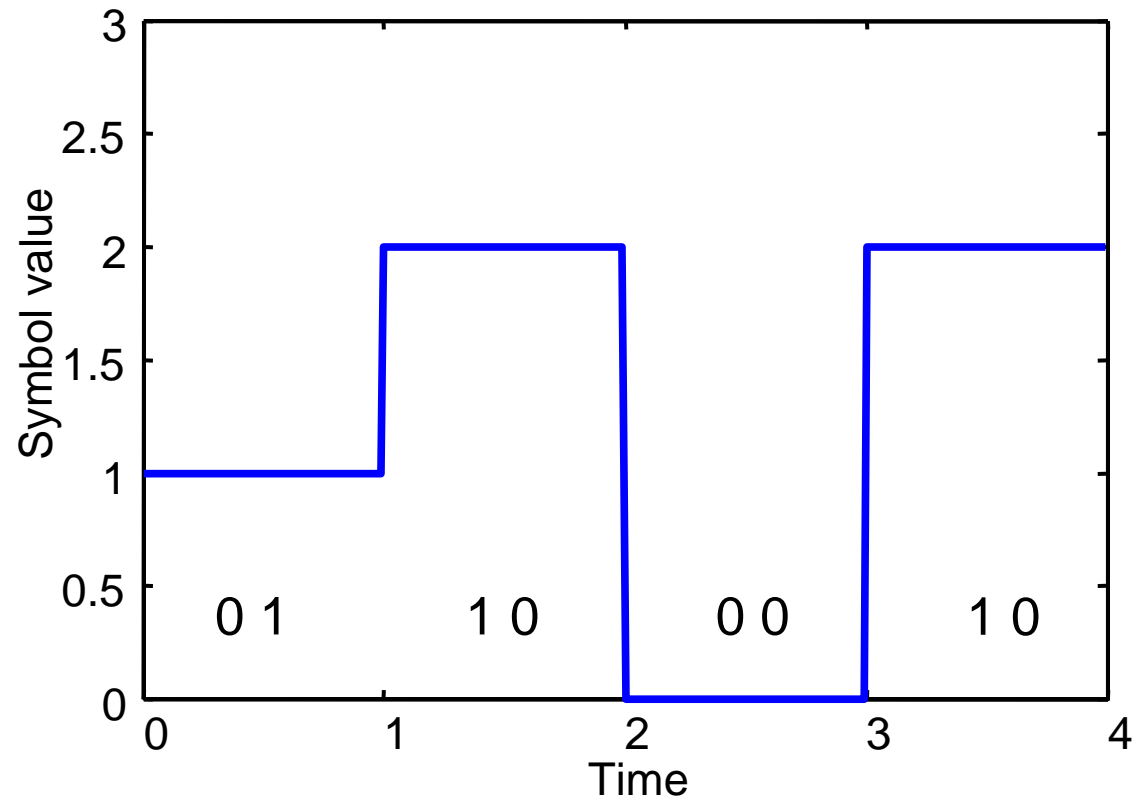
# Use more than just 0 and 1 in the channel

- Who says we can only use 0 and 1 as possible levels for the transmitted signal?
- Suppose the transmitter can generate signals (current, voltage, ...) at *four different levels*, instead of just two
  - Then: to select one of four levels, *two bits* are required
- Distinction
  - “Bits” are 0 or 1, used in “higher” layers
  - “Symbols” can have multiple values, are transmitted over the channel
  - *Symbol rate*: Rate with which symbols are transmitted
    - Measured in baud
  - *Data rate*: Rate with which physical layer processes incoming data bits
    - Measured in bit/s

# Example: Use four-level symbols to encode two bits

- Example:
  - Map 00  $\rightarrow$  0, 01  $\rightarrow$  1, 10  $\rightarrow$  2, 11  $\rightarrow$  3
  - Symbol rate is then only half the data rate as each symbol encodes two bits

- Today's systems:
  - many bits per symbol
  - often Phase Shift Keying/Amplitude Shift Keying combined





- Using symbols with multiple values, the data rate can be increased
- ***Nyquist formula*** summarizes:

$$\text{maximum data rate} \leq 2H \log_2 V \text{ bit/s}$$

where  $V$  is the number of discrete symbol values and  $H$  the available bandwidth in Hz

# Unlimited data rate with many symbol levels?

- Nyquist's theorem appears to indicate that unlimited data rate can be achieved when only enough symbol levels are used
- Is this plausible?
- More and more symbol levels have to be spaced closer and closer together
- What then about noise?
  - Even small random noise would then result in one symbol being misinterpreted for another
- So not unlimited?

- Achievable data rate is fundamentally limited by noise
  - More precisely: by the relationship of signal strength compared to noise
  - The relatively fewer noise there is at the receiver, the easier it is for the receiver to distinguish between different symbol levels
- Relationship characterized by ***Shannon, 1948***

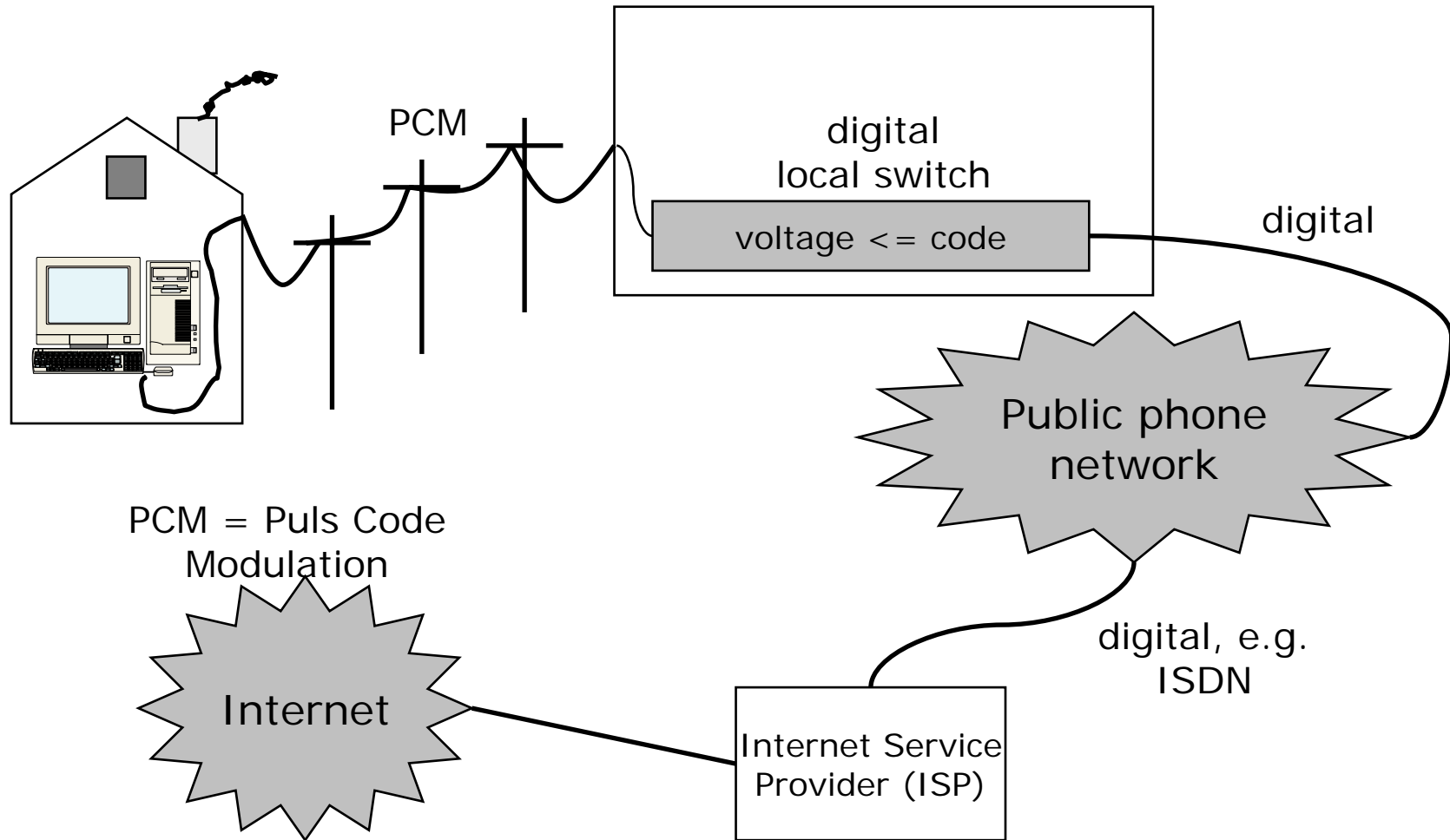
$$\text{maximum data rate} \leq H \log_2 (1 + S/N) \text{ bit/s}$$

where  $S$  is signal strength,  $N$  is noise level and  $H$  the available bandwidth in Hz

- This theorem formed the basis for ***information theory***

- Idea
  - Modulation/Demodulation of signals depending on data
  - Adaptation to medium characteristics
- Key parameters
  - Data rates up to 56 kbit/s downstream (provider to user)
  - Upstream 33.6 kbit/s (based on V.34)
    - V.92: 48 kbit/s upstream plus data compression (V.44)
- Technology
  - Digital infrastructure required, only last mile analog
  - Digital transmission from provider to last switch
  - PCM signals from switch to modem
  - Data rates depend much on line quality
    - Line probing required plus adaptation

# V.90 basic architecture

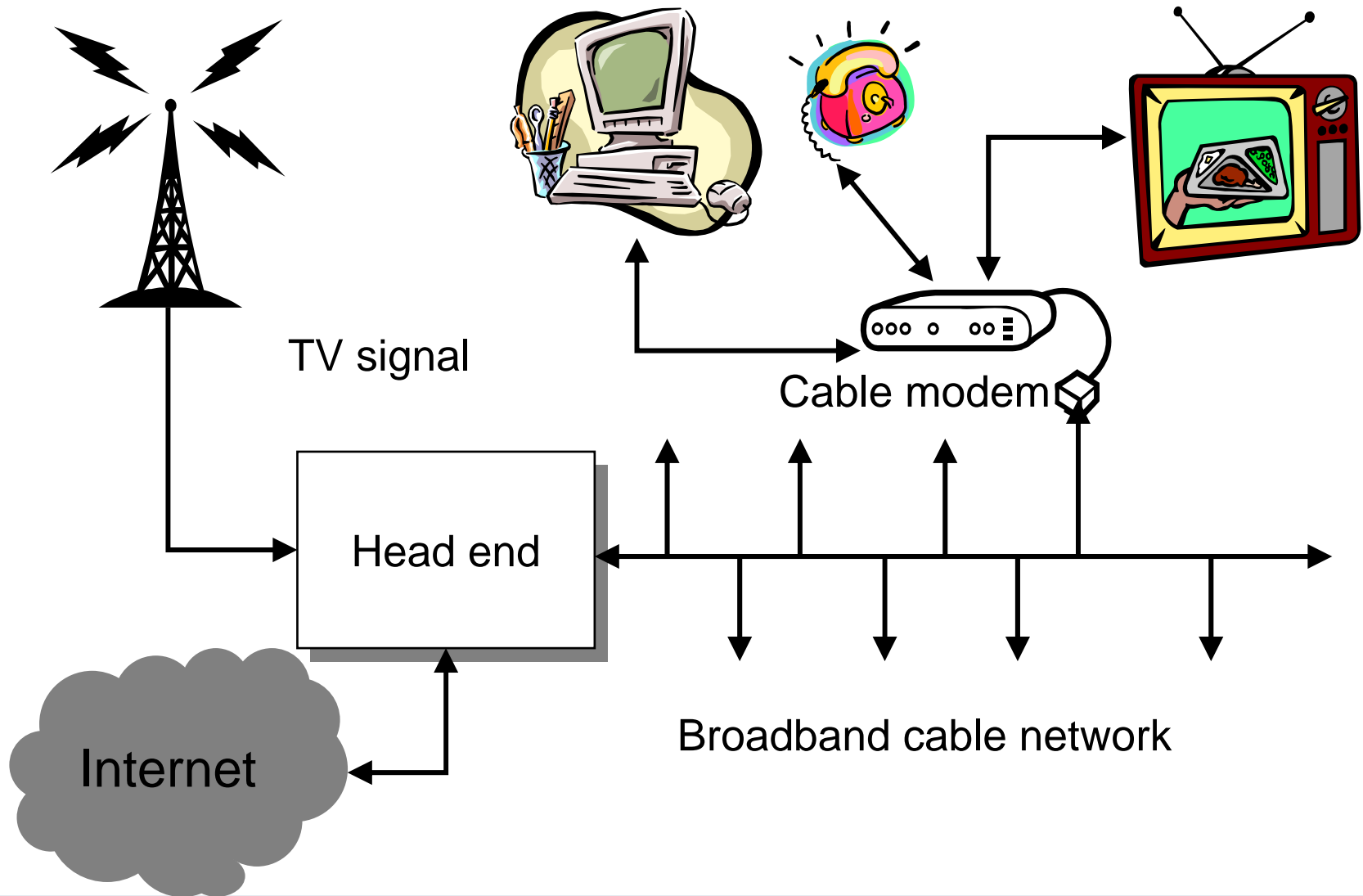




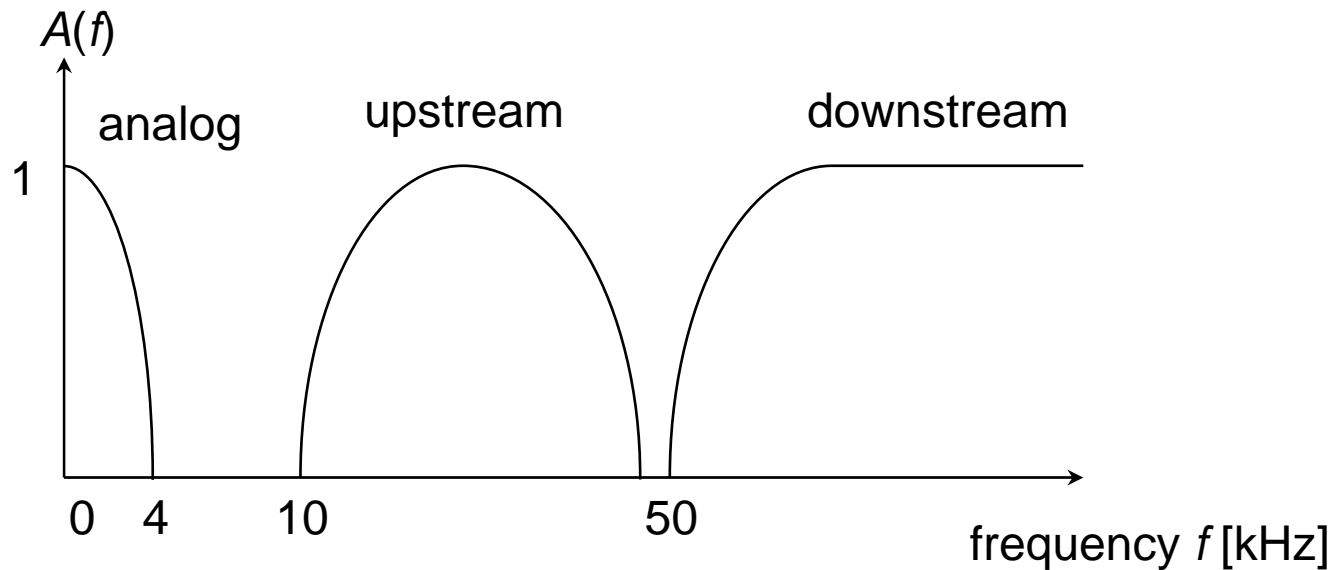
# Newer modem technologies

- Cable modem
  - Data transmission via broadband cable (TV)
  - Infrastructure must be bi-directional
  - Data rates in the Gbit/s range but always shared medium
- Powerline communications
  - Data transmission via power lines
  - Couple high-frequency signals into standard power lines
  - Data rates up to several Mbit/s but shared medium
- xDSL modems
  - Higher data rates using conventional phone lines
  - Typical data rates up to 16 Mbit/s downstream, up to 1 Mbit/s upstream (ADSL)
  - Not everywhere, rates depend on location, interference ...
  - Special technologies for faster response („FastPath“)
  - Up to 50 Mbit/s at certain places (VDSL)
  - Symmetrical for companies/servers

# Broadband cable: digital TV plus Internet



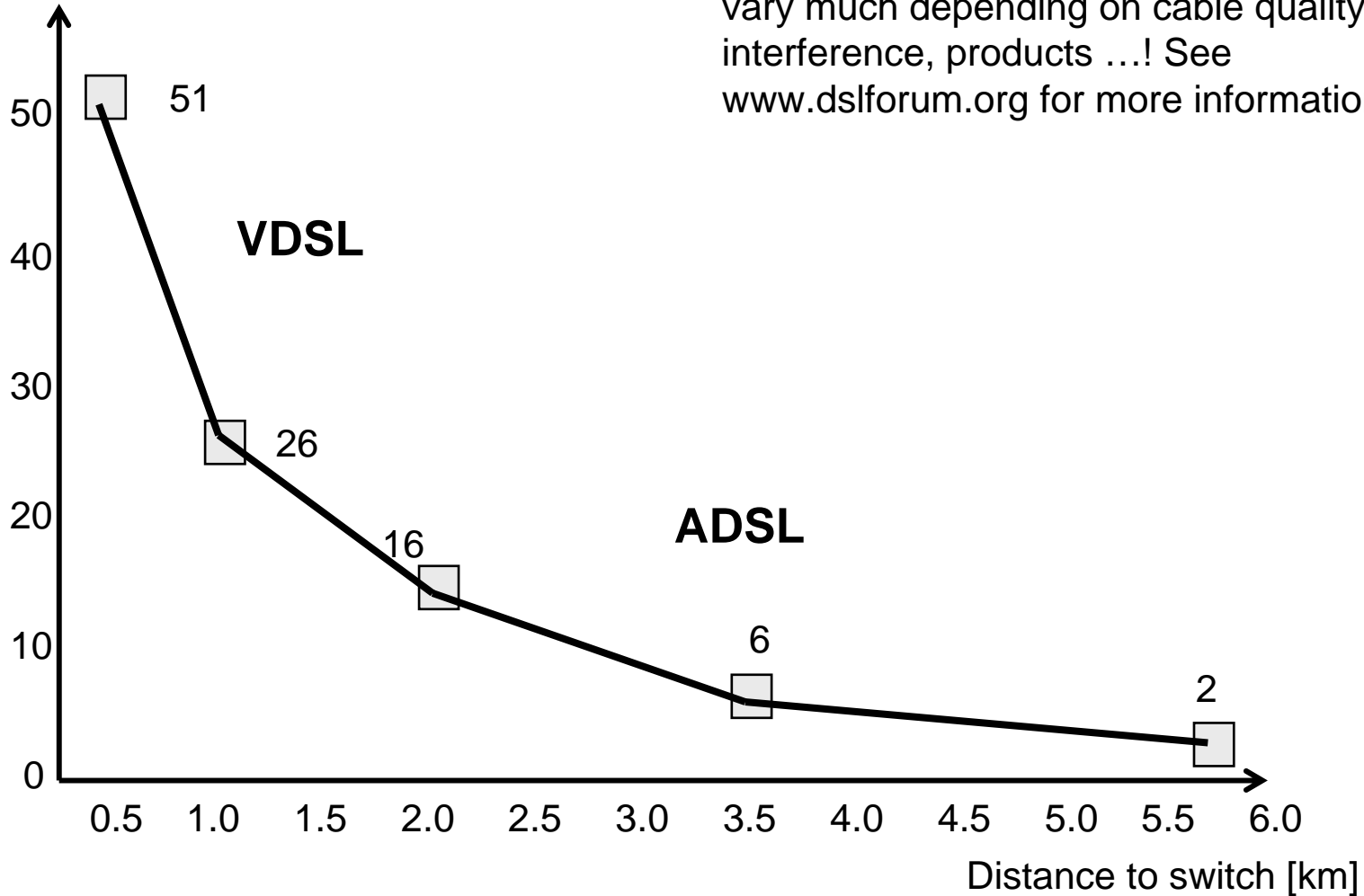
- xDSL: different DSL (Digital Subscriber Line) technologies
- Goal: use already existing phone lines (simple twisted pair, unshielded) for higher data rates
  - ISDN: replacement of the analog phone system by a fully digital system (offering  $n$  times 64 kbit/s)
- Co-existence of classical analog (or digital ISDN) phone system plus high data rates



# Typical downstream data rates



Data rate [Mbit/s]



Be aware: All figures (data rates, distances) vary much depending on cable quality, interference, products ...! See [www.dslforum.org](http://www.dslforum.org) for more information.